

REGULATORY RESPONSE TEAM

STEERING A COURSE THROUGH THE REGULATORY MINEFIELD

Fostering a constructive relationship with your regulators is perhaps the most cost effective risk management tool available.

Presenting a cooperative, coordinated, and unified voice to regulatory agencies assures that your regulatory situation can only improve



Fostering a constructive relationship with Regulators

What is the *REGULATORY RESPONSE TEAM*?

Our Regulatory Response Team is a group of highly trained professionals, who have specific expertise in assisting companies that are under regulatory supervisory actions. The teams consists of individuals with experience in *auditing, risk management, compliance, operations, information technology and human resources* — all with an emphasis on regulatory project management. Each of our consultants have worked with a wide range of domestic and international financial institutions. We have assisted our clients with remediation of their regulatory issues, as well as to effectively coordinate plans of action and communication with the various regulatory agencies.

Who needs the *REGULATORY RESPONSE TEAM*?

Financial institutions experiencing increasing regulatory scrutiny or that are currently under enforcement actions by the Federal Reserve Bank and State Banking Departments, for example.

What will the *REGULATORY RESPONSE TEAM* do for my institution? The Regulatory Response Team will assist in the coordination and execution of corrective actions and responses to the regulators on your issues, and will guide you through the regulatory response process.

What will it cost my institution?

The *Regulatory Response Team* is a cost effective alternative to the high rates charged by the Big Four firms.



CONSTRUCTIVE
RELATIONSHIP

COORDINATED
RESPONSE

UNIFIED VOICE

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