

# ImpactAML Independent Audits

In the regulatory environment of the **Sarbanes-Oxley Act**, the **European Union 8th Directive**, and the **FDIC Guidance on Computer Due Diligence**, audit committees must pay more attention to the impact information technology has on its anti-money laundering internal risk controls.

*Institute of Internal Auditors*

Fully independent  
AML compliance  
audits to the most  
*exacting standards*

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## Controlling Enterprise AML Risk Management

The number of firms that depended upon their internal audit department to give a clean bill of health to their AML compliance program include many of those who were fined by regulators in excess of \$1 million! AML compliance programs are so complex that it is unlikely that internal audit staff can rigorously and adequately evaluate Bank Secrecy Act compliance. Additionally, BSA regulators, led by the FDIC, have recently issued guidance which requires that your firm provide proof that the technology (software) your firm employs to assist the AML effort has been properly evaluated and implemented.

### ImpactAML audits provide these KEY BENEFITS...

- Fully independent AML compliance audits to the most exacting standards
- Documentation using the industry standard Unified Process that is fully acceptable to examiners
- Audits conducted by AML subject matter experts with full credentials (e.g., CAMS, CFE, JD, etc.)
- AML technology systems and implementation experts specifically address the new FDIC guidance
- Full formal reporting and briefing of senior management and Board of Directors provided

